

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

	INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PC!)											
1	(51) International Patent Classification 6:	A2	(1	1) International Publication Number:	WO 99/42059							
Ì	A61F 2706, A61M 25/00		(4	3) International Publication Date:	26 August 1999 (26.08.99)							
	(21) International Application Number: PCT/US99/03544 (22) International Filing Date: 19 February 1999 (19.02.99) (30) Priority Data: 09/026,106 19 Pebruary 1998 (19.02.98) US (71) Applicant: PERCUSURGE, INC. [US/US]; 777 North Pastoria			KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW) Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM) European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF								
	Avenue, Sunnyvale, CA 94086 (US).	BJ, CF, CG, CI, CM, GA, G TD, TG).	n, Gw, ML, MIR, NE, SN,									

Published

Without international search report and to be republished upon receipt of that report.

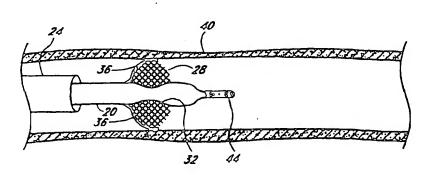
(74) Agent: NATAUI'SKY, Steven, J.; Knobbe, Martens, Olson & Bear, LLP, 16th floor, 620 Newport Center Drive, Newport Beach, CA 92660 (US).

(72) Inventors: ZADNO-AZIZI, Gholam-Rezz; 8213 Del Monte Avenue, Newark, CA 94560 (US). BAGAOISAN, Celso, J.;

4441 Pomponi Street, Union City, CA 94587 (US). PATEL, Mukund, R.; 427 Ridgefarm Drive, Snn Jose, CA 95123 (US). MUNI. Ketan, P.; 97 Frontier Trail Drive, San Jose,

(54) Title: OCCLUSION OF A VESSEL

CA 95136 (US).



(57) Abstrect

A device for occluding a vessel employs one of a number of different expansion members joined to one or more elongate members. The expansion member may include a braid, one or more coils, ribs, a ribbon-like structure, a slotted tube, or a filter-like mesh. If the expansion member is enclosed by a suitable membrane, the device seals with the vessel wall to partially or completely occlude the vessel. A perforated membrane may be used to permit the perfusion of blood. The expansion member may be self-expanding, or it may be expanded by engaging it with one of the elongate members. Alternatively, the expansion member may be expanded by heating it.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spein:	1.5	Lasotho	SI	Slovenia
AM	Armonia	PI	Finland	LT	Littuania	-	
AT	Austria	FR	France	LU	Luxenboury	SK	Slovakia
AU	Australia	GA.	Gabon	LV	Latvis	SN	Sonegal
AZ	Azerbaijao	СВ	United Kingdom	MC		52	Swaziland
BA	Bosnia and Herzegovina	GE	Georgia	_	Мовасо	TD	Clud
BB	Barbados	CH	Ghana	MD	Republic of Moldova	TG	Togo
82	Belgium	GN.	Guinea	MG	Madagascar	ŢJ	Tajikistan
BF	Burkina Faso	CR		MK	The former Yugoslav	TM .	Turkmenistan
BG	Bulgaria		Greece		Republic of Macedonia	TR	Tukey
R.J	Benin	BU	Hungary	ML	Mali	77	Trinidad and Tobago
BK	Brazi)	ΙE	freland	MEN	Mozgolia	UA	Utraine
BY		n	Israel	MR	Mauritania	UG	Uganda
	Belarus	LS	lociand	MW	Malawi	US	•
CA	Canada	rr	İtaly	MX	Mexico	UZ	United States of Ameri
CF	Central Africas Republic	JP	Japan	NE	Niger	VN	Usbekistan
CG	Congo	KK	Kenya	NL	Netherlands		Viet Nam
CH	Swazerland	KG	Kyrgyasian	NO	Norway	YU	Yugoslavia
CI	Côte d'Ivoire	KP	Democratic People's	NZ.		zw	Zimbabwe
CM	Cameroon		Republic of Korea	PL.	New Zealand		
CN	China	KR	Republic of Korea		Poland		
CU	Cuba	KZ.	Kazakaran	PT	Portugal		
CZ	Czech Republic	ıc	Saint Lucia	RO	Romania		
DE	Germany	u		RU	Russian Federation		
DK	Denmark		Liechrenstein	SD	Sudan		
RE.	Estonia	LK	Sri Lanka	SE	Sweden		
	unutil 8	LR	Liberia	SG	Singapore		

occlusion of a vessel

Background of the Invention

First of the Invention

The present invention relates generally to the occlusion of a vessel within a potizat, and more specifically, to an apparatus and mathed of partially or complately occluding a vessel.

Description of the Related Art

Attempts herotofore have been made to treat ecclusions in the ceretic enterior leading to the brain. However, such arteries have been very difficult to treat because of the possibility of dislodging pisque which can enter various provided versus and the brain and cause permanent brain damage. Aftermats to treat such occlusions with balloon angioplasty have been very limited because of such dampers. In surgical treatments, such as endorterectomy, the careful entering is slit and plaque is removed from the vessel in the slit area. Such surgical procedures have substantial risk associated with them which can lead to marketility and murtality.

In other procedures, such as in angioplasty and in the transment of paripheral arteries and veins, there is the possibility that the guido wires and cothotors used in such procedures during deployment of the same may couse disladgement of debris or amboli which can flow downstream and couse serious domage, such as strate, if they acclude blood flow in smaller vessels. Thus, in summary, embolization and migration of micro-emboli downstream to an and organ is a major concern of cardiologists during cathaterizations.

There is therefore need for new and improved apparatus and mathods which make it possible to troot eccluded versels without endangering the patient.

20

25

30

35

5

10

15

Summery of the Invention

The present invention satisfies the read for a device that eccludes a vessel, in particular, a vessel in a patient undergoing therepeatric or other medical treatment. Any one of a number of different expension members are joined to one or more elongate members such as hypotubes to form a device that completely or partially occludes a vessel within a patient. The expension member may be self-expending, it may be expended by engaging it with case of the elongate members, or it may be housed to cause it to expend. A membrane preferably surrounds the expension member so that a seel is made between the membrane and the vessel. The parturien of bleed is allowed if the membrane is participated. Partial occlusion may be obtained without a membrane if a suitable expension member is chosen. In general, in one aspect of the present invantion, there is growised on expensions and method that can be used with approved diagnostic and therepeatic devices to reduce the chance of embeli migrating downstream. Alternatively, the expension member may enchar an introvescular device within a vessel.

One embediment of the present invention is a device for eccluding a vascular segment, in which the device includes an expansion member and first and second alongate members. The first alongate member are engages that appendion member, and the second alongate member surrounds the first alongate member, with the expansion member appending to acclude the vascular segment when one of the alongate members is moved tengitudinally. The expansion member preferably includes a braid, a coil, a ribbon-like structure, a started tube, a physicity of ribs or a

10

15

20

25

30

35

filter-like mesh. The device may also include material that adjoins the expansion member for creating a partial or total seal with the vascular segment.

In one particular embodiment, the expansion member is in an unexpanded state when it is surrounded by the second elongate member, but expands when the first elongate member is pushed through the second elongate member. In another embodiment, both the first and second elongate members are secured to the expansion member, and the expansion member expands as the first elongate member is retracted.

Another embodiment of the invention is a method of occluding a segment within a vessel, which includes the step of inserting first and second elongate members into the vessel to be occluded (in which the first elongate member adjoins an expansion member), followed by the step of varying the position of at least one of the elongate members so that the expansion member expands until the vessel is completely or partially occluded. In one embodiment, the varying step includes retracting one of the elongate members, and in another embodiment, the varying step comprises pushing one of the elongate members through the other elongate member. In yet another method of occluding a segment within a vessel, an expansion member is inserted within the vessel, and the expansion member is heated to cause it to expand until the vessel is at least partially occluded. Heating the expansion member may involve, for example, passing electrical current through it or passing warm solution over or near it.

Brief Description of the Drawings

- FIG. 1 is a side-elevational view in section of one ambodiment of a catheter apparatus incorporating the present invention for treating occluded vessels.
- FIG. 2 is a side-elevational view in section similar to FIG. 1 but showing the apparatus in FIG. 1 with the expansion member (in this case, a self-expandable seal) deployed.
- FIG. 3 is a side-elevational view in section of another embodiment of a catheter apparatus incorporating the present invention for treating occluded vessels.
- FIG. 4 is a view similar to FIG. 3 but showing the expansion member (in this case, a self-expandable seal) deployed.
- FIG. 5 is a schematic, longitudinal cross sectional view of an embodiment in which a membrane only partially surrounds a braid used as the expansion member.
 - FIGS. 6A and 6B show end views of unperforated and perforated membranes, respectively.
- FIG. 7 is a schematic, longitudinal cross sectional view of an embodiment in which a braid without a membrane is used.
- FIG. 8 is a schematic, longitudinal cross sectional view of an embodiment in which a filter-like mesh is used as the expansion member.
- FIG. 9 is a schematic, longitudinal cross sectional view of an embodiment in which a slotted tube is used as the expansion member.
 - FIG. 10 is a perspective view of the slotted tube used in the embodiment of FIG. 9.
- FIG. 11 is a schematic, longitudinal cross sectional view of an embodiment in which a coil is used as the expansion member, and the proximal end of a membrane surrounding the coil adjoins the coil.

FIG. 12 is a schematic, tengitudinal cross sectional view of an embodiment in which a cod is used as the expansion member, and the proximal and of a membrone surrounding the cod adjains a shooth that surrounds both first and second elegate members.

FIG. 12A is an embediment similar to that shown in FIG. 12, in which resistive heating is used to expand the expansion member, with current being conducted through wires being attached to either side of the expansion member. The expansion member as shown is partially deployed.

FIG. 12B is an embodiment similar to that shown in FIG. 12A, in which resistive hapting is used to expand the oxpansion member, with current boing conducted through a wire being attached to the distal end of the expansion member and through a cooting on the first elongate member. The expansion member as shown is partially deployed.

FIG. 13 is a schematic, side cross sectional view of an embodiment in which a plurelity of ribbons are used as the expansion member.

FIG. 13A is an embodiment similar to that shown in FIG. 13, in which a warm solution passes between the first and second clongate members to transfer heat to the expansion member, causing it to expand. The expansion member as shown is partially deployed.

FIG. 13B is an ambodiment similar to that shown in FIG. 13A, in which a warm solution passes through the first elongate member to transfer heat to the expansion member, causing it to expand. The expansion member as shown is partially deployed.

FIG. 13C is an embodiment similar to that shown in FIGS. 13A and 13B, in which a warm solution passess through one or more lumans in the first elongate mamber to transfer heat to the expansion mamber, exusing it to expand. The expansion mamber as shown is pertially deployed.

FIG. 14 is a schematic, side cross sectional view of an embodiment in which a plurality of rios are used as the expansion member.

FIG. 15 is an isometric view of an embodiment of the invention in which a pull wire is used to deptoy a plurelity of non-self-expanding ribbons surrounded by a membrane.

FIG. 16 is a side partial sectional view of the embodiment of FIG. 15 in which the ribbons are in their related, undeployed position.

FIG. 17 is a side elavational viaw of the embodiment of FIG. 15 in which the ribbons are deployed, and the membrane makes a seal with the vessel.

FIGS. 18A and 18B show longitudinal and and perspective views, respectively, of a locking mechanism used with a wire that deploys an expansion mamber.

FIG. 19 is a perspective view of an obternative locking machanism used with a wire that deploys an expansion mamber.

FIGS. 20A, 20B, 20C, and 20D show, respectively, a braid, a filter-bito mash, a slotted tube, and a phyrolity of coils, which can be used as alternative expansion members in place of the ribbons in the ambodiment of FIG. 15.

10

5

15

25

30

20

10

15

20

25

30

35

Detailed Description of the Preferred Embodiments

The expansion members discussed herein include braids, coils, ribs, ribbon-like structures, slotted tubes, and filter-like meshes. These expansion members may be partially covered or completely surrounded by a membrane or other covering to provide occlusion or sealing of the vessel. As used herein, "ecclusion" or "sealing", and the like, mean partial or complete blockage of fluid flow in a vascular segment, as it is sometimes preferable to allow perfusion. Moreover, such expansion members may be deployed by various mechanical means, electrical means or thermomechanical means, etc., as described herein. Expansion members that are deployed mechanically are preferably "spring-like" in nature, i.e. they are preferably resilient to facilitate their deployment or retraction.

Catheter Apparatuses and Self-Expanding Braids

One embodiment of a catheter apparatus incorporating the present invention for treating occluded vessels is shown in Figures 1 and 2. As shown therein, the catheter apparatus 651 consists of a flexible elongate member 652 which is provided with proximal and distal extremities 653 and 654. A conventional adapter 656 is mounted on the proximal extremity and is provided with a Touhy-Berst fitting 657 which is in communication with a large central lumen 658 extending from the proximal extremity 653 to the distal extremity 654. An aspiration fitting 661 is provided on the scapter 656 as well as an irrigation fitting 662, both of which are in communication with the central lumen 658. However, it should be appreciated that if desired, separate lumens can be provided in the flexible elongate member 652 for both of the fittings 661 and 662.

Self-expanding sealing mechanism 666 is mounted on the distal extremity 654. This self-expanding sealing mechanism 666 can take any suitable form. For example, as shown it can consist of a braided structure 667 formed of a suitable shape memory material such as a nickel titanium alloy that will attempt to expand to a predatermined shape memory. Other than shape memory materials, other materials such as stainless steel, ElgiloyTM, titanium or other materials can be utilized in the braid 667 as long as they have the capability of expanding when the self-expanding seal mechanism is released. Also it should be appreciated that the self-expanding seal mechanism 666 can be comprised of an absorbent material which when it absorbs saline or blood expands to form a seal. Such seals can be readily accomplished because it is only necessary to form a seal of approximately 1.5 psi to prevent small particles from moving downstream.

In order to prevent abrasion of a vessel, it is desirable to cover the braided structure 667 with a covering 668 of a suitable material such as a polymer or a biocompatible coating which extends over the braided structure 867 and which moves with the braided structure 667 as it expands and contracts. The polymer can be of a suitable material such as silicone, C-flex, polyethylene or PET which would form a good sealing engagement with the wall of the arriery. The covering 668 may be perforated to allow perfusion.

A mechanism is provided for compressing the self-expanding sealing mechanism 666 so that the apparatus can be inserted into the vessel 481 and consists of an elongate sleeve 771 having proximal and distal extremities 772 and 773 and a bore 774 extending from the proximal extremity 772 to the distal extremity 773. A collar 776 is mounted on the proximal extremity 772 of the sleeve 771 and is positioned near the adapter 656. The collar 776 serves as a mechanism for retracting the sleeve as shown in Figure 2 to uncover the self-expanding sealing

WO 99/42039 .5. PCT/US99/03544

5

10

15

20

25

30

more paism 666 ofter the catheter has been deployed to parmit the self-expanding scaling machanism 666 to expand and form a such with the arterial vascal adjacent the stances to be treated.

Another embodiment of a cathotic opporotus for treating eccluded vessels incorporating the prosent invention is shown in Figures 3 and 4. As shown therein, the apparotus 781 consists of a guiding cathoter 782 having proximal and distal extremities 783 and 784. As shown, the distal extremity 784 is provided with a preformed band of a conventional type. A conventional attachment 786 is mounted on the proximal extremity 783. Self-expanding soal machanism 791 is mounted on the distal extremity 784 and is of the type karoinbefore described in connection with the embodiments shown in Figures 1 and 2. A sleave 796 sonilar to the sleave 771 of the provious embodiment is provided in the proximal embodiment for encasing the self-expanding soal machanism 791 and for releasing the same after it has been disposed in an appropriate position within a vessel adjacent the occlusion to be treated. Thus, a sleave 796 is provided having proximal and distal extremities 797 and 798 and having a bore 799 extending from the proximal extremity to the distal extremity which is sized so that it can receive the guide cathoter 782. It is provided with a coller 801 on its proximal extremity which is adapted to be disposed outside the petion and which is adapted to be grasped by the physician for pulling the alcove 796 proximally to uncover the self-expansion of the sealing machanism 791 to form a seal with the vessel wall as shown in Figure 4.

In accordance with the hareinbofore described descriptions, it is apparent that the apparatus can be readily deployed and serve the same function as the main catheter. To accomplish this, the assembly 781 can be introduced into the femoral entery and the distal extramity advanced into the desired location in the enterial vessel. After it has been properly positioned, the physician can retract the sleave 796 to permit the self-expending seel machanism 781 to expand and to form a seel with the wall of the arterial vessel to occlude the enterial vessel and interrupt the flow of blood in the vessel to provide a working space distal of the occlusion formed. This prevents small perticles which may thereafter be disladged from moving downstream. Since a central luman is evaluable, the therepeutic procedures hereinbefore described can be employed with the catheter apparatus shown in Figures 1, 2, 3 and 4.

Although the solf-expanding seeling mechanism 666 (791) can be deployed by retracting the steeve 771 (796) as proviously described, the sealing mechanism can also be deployed by pushing the flexible elengate member 652 (guiding catheter 782) through the sleave so that the sealing mechanism can expand. This may be the preferred way of deploying the acceling machanism 666 (791), if there is little clearance between the apparatus 651 (781) and the vessel within which the apparatus resides, to reduce the risk of damaging the petient's vessel. As discussed below in connection with subsequent figures, the sealing mechanism 666 (791) may obtained comprise members such as a coil o ribbon-like structure, a slotted tube, or a filter-like mesh. In each case, the sealing mechanism expands to partially or completely occlude the vessel in question, or alternatively, to enchor an introvascular device to the vessel.

10

15

20

25

30

35

Alternative Self-Expanding Members

Another embodiment using a braided structure is shown schematically in FIG. 5, in which a flexible elongate member 20 is disposed within a second elongate member 24 such as a hypotube. A self expanding mechanism 28 such as a braided structure is secured to the distal end of the elongate member 20, preferably within an indentation 32 of member 20. The braided structure 28 is only partially encapsulated by a preferably elastomeric membrane 36 that makes a seal with the patient's vessel 40. (Alternatively, a coating such as a polymeric coating may be used in place of the membranes disclosed herein.) In this and the other embodiments, adhesive may be used to secure the self-expanding mechanism 28 and the membrane 36 to the elongate member 20. In the embodiment of FIG. 5, the braided structure 28 and membrane 38 are designed to be asymmetrical, with more material being concentrated at the proximal side of the structure 28. The braids of the embodiments disclosed herein may be stainless steel 304 or 400, superelastic or heat activated Nitinal, an iron base shape memory alloy, or a polymer base, such as polyethylene or polypropylene. They may be constructed, for example, by using standard equipment such as a braider.

Although the embodiment of FIG. 5 shows the flexible elongate member 20 connected to a guidewire tip 44, other technologies for guiding the device through the patient's vessel 40 may be used in this and the other embodiments, such as a guidewire (either over the wire or single operator) or the exchange catheter method, as is well known in the art. Also, although not explicitly shown in the embodiment of FIG. 5 and the other embodiments herein, these embodiments may include lumens, aspiration and irrigation fittings, and collars like those illustrated in FIGS: 14.

The membrane 36 is preferably impervious to the flow of blood (FIG. 8a) for those applications not requiring perfusion, although a perforated membrane 36' (FIG. 6b) having numerous holes 37 therein may be used in other applications to allow the passage of blood. The holes 37 are preferably greater than 10 microns in diameter and may be up to 80 microns or more in diameter to permit the passage of blood cells (nominally 6-10 microns in diameter) through the membrane 36' while blocking larger particulates such as emboli. Likewise, a perforated membrane 36' may be used in the other embodiments disclosed herein. Antithrombogenic coatings can be used (e.g., heparm) to prevent thrombosis formation.

FIG. 7 shows an embodiment in which a braided structure 50 is not enclosed by a membrane. When the braided structure 50 comprises, for example, a diamond mesh pattern in which adjacent wires are separated by about 10-80 microns, the braided structure permits the passage of red blood cells, while blocking the flow of matter that may be undesirable, e.g., emboli or other particulates that may be formed or dislodged during medical procedures. Thus, this embodiment is well suited for applications for which perfusion is required.

Alternative self-expanding media are shown in FIGS. 8 and 9. In FIGS. 8 and 9, a self-expanding filter-like mesh 60 and a self-expanding slotted tube 72, respectively, are surrounded by a membrane 62 that is preferably elastomeric. The filter-like mesh 60 (or slotted tube 72) and membrane 62 are bonded or otherwise secured to a flexible elongate member 64, e.g., to an indentation therein. As with the other self-expanding media disclosed herein, the filter-like mesh 60 (or slotted tube 72) expands from its unexpanded state when the flexible elongate member

15

20

25

30

35

64 is pushed through a second clongote member 66, or obtainatively, when the socond clongote member 66 is reprocted over the first elemente mamber 64. The filter-like mesh 60 (or stotted butte 72) then expands so that the members 62 forms a seal with the surrounding vessel 68. A guidewire tip 70 pids in guiding the device through the vessel 68. The filter-like mesh 60 and slotted tube 72 are of a suitable shape memory meterial such as Nitinal or (304 or 400) stainless steel. The filter-like mesh 60 is filtered in nature, being semewhat embegous to steel wool. The alotted tube 72 has a lattice-like appearance. The slotted tube 72 may be constructed, for example, by irradiating a thin-walled tube with a laser beam to form trains in the tube in the shape of polygons such as obtoing quadrilaterals. An unexpended, stated tube 74 is shown in FIG. 10.

FIG. 11 disstrates another embediment, in which a coil 80 serves as the self-expanding mechanism. The coil 80 may be integrally formed with a first elongate member 82 or be otherwise specially joined to it, e.g., by welding or brozing the coil to the clongate member 82. The coil 80 is surrounded by a membrane 84 that expands with the coil when it is pushed out of a second alongate member 86, or alternatively, when the second elongate member 86 is retracted from the coil 80. Thus, the membrane forms a seal with the surrounding vessel 90. The membrane 84 may be attached directly to the first elongate member 82, or to a member 88 such as a disk that is in turn secured to the coil 80 or the first elongate member 82. A guidewire tip 92 for guiding the device through the vessel 90 may be attached to the first elongate member 82 or to the member 88, if one is used.

An embodiment similar to that shown in FIG. 11 is disstrated in FIG. 12, in which the mambrane 84 is secured at the proximal end to a separate sheath 94. In this case, the shooth 94 and the first elengate mamber 82 are extended together over and through, respectively, the second elengate mamber 86. Assembly may require prolociting the coil 80 through the distal end of the second elengate mamber 86.

Another embodiment that employs a self-expanding medium is shown in FIG. 13, in which a plurality of ribbons 100 make contact with a membrane 102 while they expand to urgo the membrane towards the well of the vessel 104 where it makes a seal. The ribbons 100 of this embodiment are preferably secured to a first elegate member 106 at both ends of the ribbons, by, for example, gluing them in place. The ribbons may be 0.001-0.004° x 0.005-0.020° x 0.25-1.0° strips of Mitinal, stainless steel, or EtgiloyTM which expand when urgod out of the second alongate member 108. A guidewire tip 110 may be used for guiding the device through the vessel and is preferably secured to the distal and of the first elegate member 106.

FIG. 14 iBustrates on embediment similar to the one in FIG. 13, in which ribs 120 such as wires form a series of semicircular arcs when they expand. The ribs 120 are surrounded by a membrana 122 that expands with the ribs to form a seal with the vessel 124. The number of ribs 120 is proferably at least three. The ribs 120 are proferably attached directly to a first alongote member 124 that is surrounded by a second clongote member 126. The ribs 120 themselves are preferably made of a shape memory material such as Nitinal or stainless steel. A guidowire tip 128 aids in guiding the device through the vassel 130.

As in the other self-expanding embediments, the self-expanding mechanism 100 (120) is in an unexpanded state when enclosed by the second elongate member 108 (126), and expands when pushed or pulled beyond the second elongate member 108 (126).

Non-self-expanding embodiments

5

10

15

20

25

30

35

1. Heat activated embodiments

FIGS. 12A and 12B illustrate how electrical means can be used to generate heat to expand an expension member. A first elongate member 82' (and a coil 80' which adjoins it, coil 80' and member 82' being similar to their unprimed counterparts) is preferably made of heat activated Nitinol. an iron base shape memory alloy, or another material that expands when exposed to heat. As shown in FIG. 12A, low profile, low resistivity electrical lines 81 and 83 preferably pass either through or along the second elongate member 86 and are attached (e.g., soldered) to the first elongate member 82' on either side of the coil 80'. When current is applied through the electrical lines 81 and 83 (the power supply is not shown but is preferably outside the patient), the coil 80' heats up through resistive heating, and the coil expands to urge the membrane 84 to centact the vessel wall 90. Alternatively, as shown in FIG. 12B, the first elongate member 82' may have a coating 85 of gold or silver. In this embodiment, the coated elongate member 82' is used to pass current (with most of the current preferably being carried by the coating 65, so that most of the energy is deposited in the coil 80'), with the circuit being completed with a low resistivity wire 87 that is preferably connected (e.g., soldered) to either the second elongate member 86 or the sheath 94. This principle of resistive heating to expand a expansion member can be applied to the other embodiments disclosed herein as well.

FIGS. 13A, 13B, and 13C illustrate how heat transfer using a liquid can deploy an expansion member. The ribbons 100' are preferably made of heat activated Nitinol, an iron base shape memory alloy, or another material that expands when exposed to heat. In the embodiment of FIG. 13A, a warm saline solution 107 is passed between the first and second elongate members 106 and 108 and then over the membrane 102, so that heat is transferred to the ribbons 100'. As the ribbons 100' heat up, they expand, thereby urging the membrane 102 against the vessel wall 104. As disstrated in FIG. 13B, the warm saline solution 107 may also be passed through the first elongate member 106 and then through holes 109 in member 106 so that the saline solution 107 more directly transfers heat to the ribbons 100'. In this embodiment, one or more holes 111 in the membrane 102 (distal to where the seal with the vessel wall 104 is made) may be used to allow the saline solution 107 to flow away beyond the ribbons 100' after heat transfer to the ribbons occurs. As illustrated in FIG. 13C, the saline solution 107 may also be passed through one or more closed loop coils or lumans 113 within the first elongate member 106. In this way, the ribbons 100' and the patient's blood are not exposed directly to any solution. Using heat transfer can also be applied to the other embodiments disclosed herein, provided the expansion member is suitably constructed.

2. Mechanically deployed embodiments

(Ither non-self-expanding sealing machanisms that can be used for occluding a vessel are described below. In the embodiment of FIGS. 15-17, a first elongate member 140, preferably a pull wire, is (when the device is completely assembled) attached to a brace member 144 that is in turn attached to a first ring member 148. Adjoining the first ring member 148 and a second ring member 152 are a plurality of ribbons 156 that extend between the two ring members. Surrounding the ribbons 156 is a membrane 160 that forms a seal with the patient's vessel 162 when the ribbons are expanded. The membrane 160 is joined to at least one and preferably

10

15

20

25

30

35

both of the ring maximums 148 and 152. The maximum 160 can be joined to only one of the ring maximums 148 and 152, for example, when the maximum 160 extends for enough in the longitudinal direction to parmit the maximum to make a good seal with the vossel 162 when the ribbons 156 are deployed.

To assemble the device, the first and second ring manifers 148 and 152, the ribbons 156, and the maniferant 160 are placed as a unit orient a second changete manifer 166, which has a pair of oppositely facing habs 170 and 172. The brace manifer 144 is inserted through the habs 170 and 172 and secured to both the pull wire 140 and the first ring manifer 148. Further, the second ring member 152 is secured to the escend elergate member 166. This assembled configuration, with the ribbans 158 in their tenginarian crimatotion, is disstrated in FIG. 16. As disstrated in FIG. 17, when the pull wire 140 is retracted, the ribbans 156 (shown in phantom) and the maniferant 160 that surrounds them are urged towards the vossel 162, where the maniferant assembled assolution with the vossel. The ribbans 180 are preferably resiliant enough so that they return to their longitudinal crimatotion when the pull wire 140 is released. The electricity and resiliance of the pull wire 140 also helps the ribbans 156 return to their undeployed configuration. A guidowire tip 171 may be used to assist in guiding the device to the desired location in the vossel 162.

A preferred way of retracting the pull wire 140 is shown in FIGS. 18A and 18B. FIG. 18A shows the pull wire 140, which is attached to the brace member 144. A rotatable handle 180 is attached to a tocking member 184 which in turn is fastened to the pull wire 140. When the locking member 184 class the second elements rember 166 within which it resides (which is professibly outside the patient), the tocking member and rotatable handle 180 may be criented as illustrated in FIG. 18B to troop the pull wire 140 may be made of steinless or nitinal and may have a diameter of 0.006-0.008 inches, for a certacter having on 0.0. of 0.016°, for example.

An alternative to the deployment appearous illustrated in FIGS. 18A and 188 is shown in FIG. 19, in which a handle member 190 is grasped by the clinician to retract the pull wire 140, thereby deploying the sealing mechanism. Once extended, the sealing mechanism preferably has the tendency to return to its undeployed position, which in the process pulls the pull wire 140 back into the second elergate member 186. This can be provented by inserting a spacer member 194 between the bandle member 190 and the second elergate member 166. After the medical procedure is complete, and occlusion of the vessel is no larger required, the spacer member 194 can be removed and the pull wire 140 and the scoling mechanism returned to their respective undeployed positions. The device can then be removed from the potient.

Although the principle of using a non-self-expanding machanism has been disstrated in FIGS. 15-17 with respect to deformable ribbons, other non-self-expanding machanisms, as disstrated in FIGS. 20A-20D, can be employed in conjunction with the brace mamber 144 and the first and second ring mambers 148 and 152. For example, instead of using ribbons 156, a non-self-expanding braided structure 200 can be used, in which the braided structure 200 edipins first and second ring mambers 148 and 152 and is covered with a mambrane 160 to form the unit 204 shown in FIG. 20A. The unit 204 can be used in conjunction with an elongate mamber 166, a brace mamber 144, a guidowire tip 171, a first elongate mamber 140 such as a pull wire, a retatable handle 180, and a locking mamber

10

184 to form a device analogous to the ribbon-based device of FIG. 15. Alternatively, other mechanisms can be used for securing the pull wire 140, such as a handle member 190 and a spacer member 194.

Other non-self-expanding mechanisms such as a filter-like mesh 208, a slotted tube 212, and coils 216 can be used to form units 220, 230, and 240 analogous to the braided structure unit 204 as shown in FIGS. 208, 20C, and 20D. Units 220, 230, and 240 can likewise be used to construct devices analogous to the ribbon-based device illustrated in FIGS. 15-19. Further, if unit 204 is used without a membrane, it may assist in blood perfusion if the braided structure 200 is suitably constructed. Alternatively, perforated membranes like membranes 36' of FIG. 68 may be used to permit blood perfusion. Although the ribbons 158, the braided structure 200, the filter-like mesh 208, the slotted tube 212, and the coils 216 must be actively deployed (e.g. with a pull wire 140), they are neverthaless similar to their self-expanding counterparts.

It should be understood that the scope of the present invantion is not be limited by the illustrations or the foregoing description thereof, but rather by the appended claims, and certain variations and modifications of this invention will suggest themselves to one of ordinary skill in the art.

30

WHAT IS CLAIMED IS:

A device for occluding a vascular segment, comprising:

an enganem noisengue na

first and second elongato mambers, wherein said first elongate mamber engages said expansion member and solid second elongate mamber engages said first elongate mamber, said expansion member expanding to at least partially occlude the voscular argmant when one of said elongate mambers is moved longitudinally.

- 2. The device of Claim 1, further comprising a material that adjains said expansion member for creating a seal with the vascular sogment.
- 3. The device of Claim 2, wherein said material does not completely encapsulate cald expansion namber.
 - 4. The device of Claim 1, wherein said expansion member is a filter-Ete mash attached to an indomentation within said first elongate member.
 - The device of Claim 1, wherein said expansion member is in an unexpanded state when it is surrounded by said second alongate member.
- 15 6. The device of Claim 5, wherein said expension mamber expends when said first elongate mamber is pushed through said second elongate member.
 - 7. The device of Claim 5, wherein said expansion member is self-expanding.
 - 8. The device of Claim 7, wherein said self-expanding member comprises a member selected from the group consisting of a braid, a call, a ribbon-like structure, a started tube, a plurality of ribs and a filter-like mash.
- The device of Claim 1, wherein said second clargate mamber is also secured to said expansion member.
 - 10. The device of Claim 9, wherein said expansion member expands as said first elongate member is retracted.
- The device of Claim 9, wherein said expansion member comprises a member selected from the group consisting of a braid, a phrality of coils, a ribben-like structure, a statted tube, and a filter-like mesh.
 - 12. The device of Claim 9, wherein said expension mamber expends as the relative position of said first and second elempate members changes.
 - 13. A mathod of occluding a segment within a vessel, comprising:

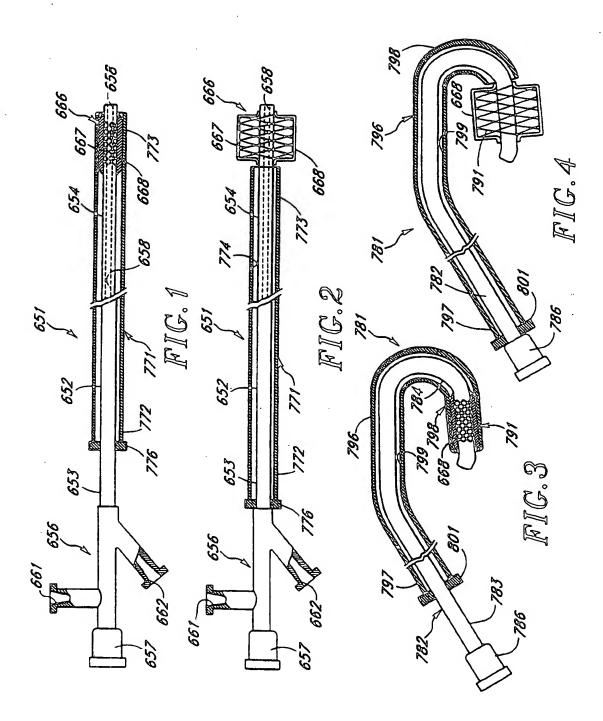
inscriting first and second alongate mambers into the vessel, wherein the first elongate member edjains on expansion member; and

varying the position of at least one of the elongate members so that the expansion member expands until the vased is occluded.

- 14. The method of Claim 13, in which said varying step comprises retracting one of the clongate mambers.
- 35 15. The mothed of Claim 14, in which said retracting one of the clongate mambers courses the expension member to expend.

10

- 16. The method of Claim 14, in which the expansion member adjoins both elongate members, and the relative position of the elongate members is varied to expand the expansion member until the vessel is occluded.
- 17. The method of Claim 13, in which said varying step comprises pushing one of the elongate-members through the other elongate member.
 - 18. The method of Claim 13, further comprising: performing a medical procedure near the occluded site; and retrieving the elongate members and the expansion member from the vessel.
- 19. A method, comprising: inserting an expansion member within the vessel; and heating the expansion member to cause it to expand until the vessel is at least partially occluded.
 - 20. The method of Claim 19, in which the expansion member is comprised of a material selected from the group consisting of heat activated Nitinol and an iron base shape memory alloy.
 - 21. The method of Claim 19, in which said heating the expansion member comprises passing electrical current through it.
- The method of Claim 19, in which said heating the expansion member comprises flowing warm solution near the expansion member to heat up the expansion member.



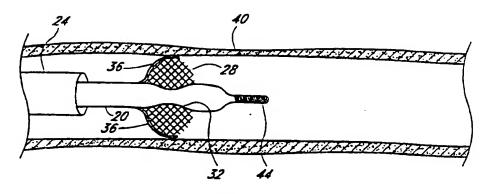


FIG.5

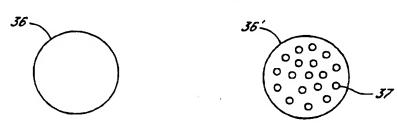


FIG. 6A

FIG.6B

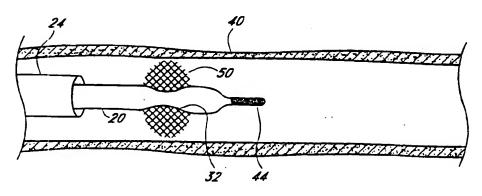


FIG.7

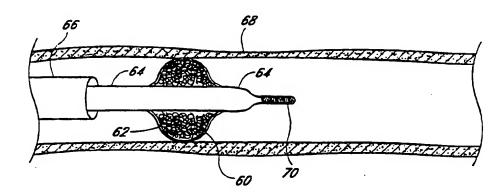


FIG.8

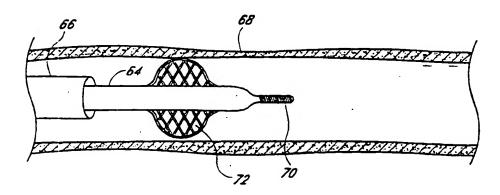


FIG.9

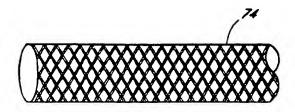


FIG. 10

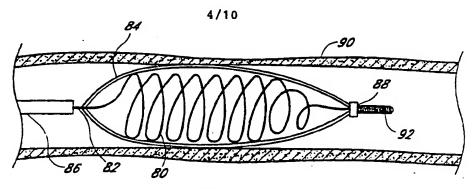


FIG. 11

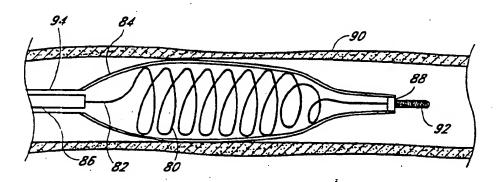


FIG. 12

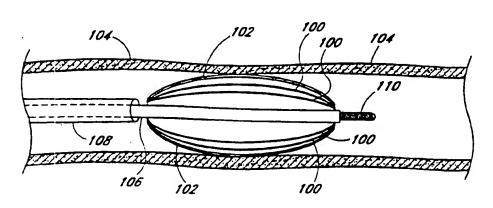


FIG. 13

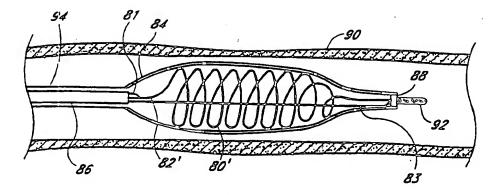


FIG. 12A

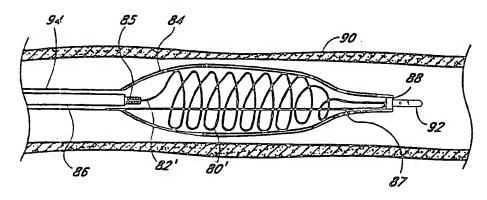


FIG. 12B

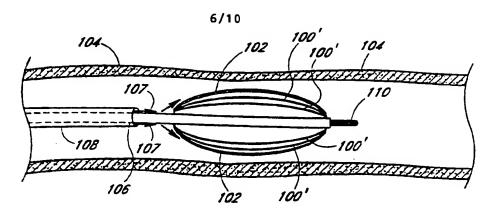


FIG. 13A

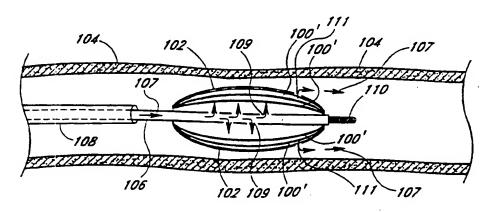


FIG. 13B

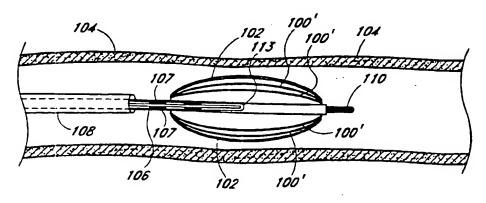


FIG. 13C

7/10

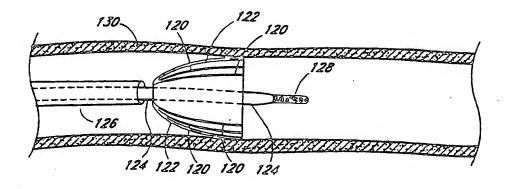


FIG. 14

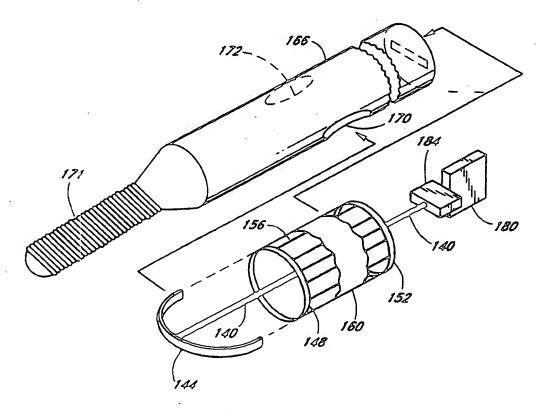
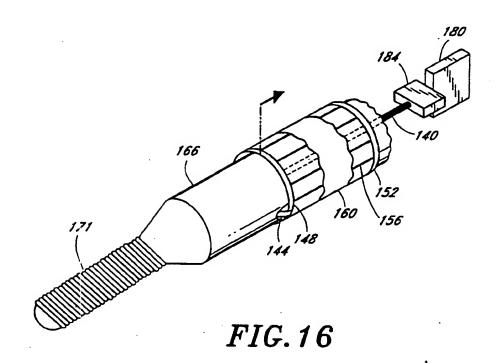


FIG. 15



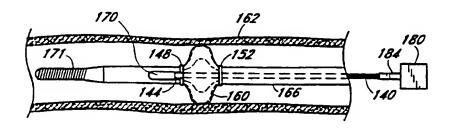
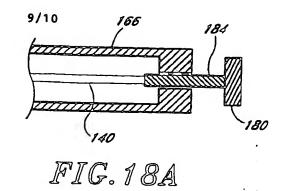
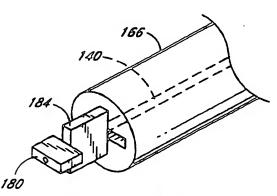
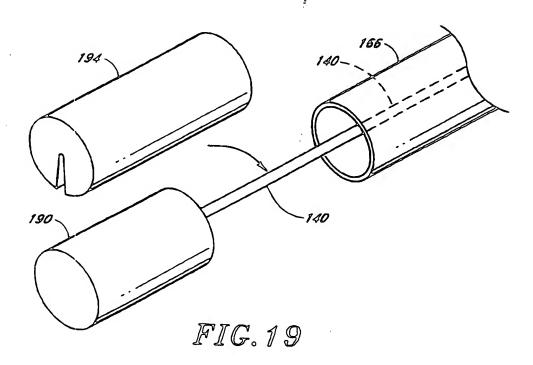


FIG.17









10/10

